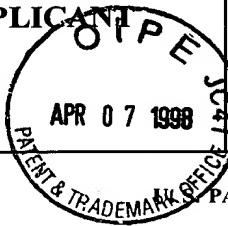


INFORMATION DISCLOSURE STATEMENT BY APPLICANT		DOCKET NO.	SERIAL NO.
		2656/2	08/976,159
APPLICANT		N. F. Brander et al.	
FILING DATE		GROUP	
APR 07 1998 U.S. PATENT & TRADEMARK OFFICE		Nov. 21, 1997	2772



EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
RJ	4,597,046	6/24/86	Musmanno et al.	705	36	
RJ	4,674,044	6/16/87	Kalmus et al.	705	37	
RJ	4,694,397	9/15/87	Grant et al.	705	42	
RJ	4,823,265	4/18/89	Nelson	705	35	
RJ	4,868,866	9/19/89	Williams, Jr.	340	825.31	
RJ	4,980,826	12/25/90	Wagner	705	37	
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RJ	5,262,942	11/16/93	Earle	705	537	
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RJ	5,285,383	2/8/94	Lindsey et al.	705	260	
RJ	5,448,043	9/5/95	Nakano et al.	235	6378	
RJ	5,465,206	11/7/95	Hilt et al.	705	40	RECEIVED
RJ	5,497,317	3/5/96	Hawkins et al.	705	37	

* - If pertinent

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
<i>RJ</i>		<p>Securities and Exchange Commission Proposed Rule Change, Depository Trust Company, filed with SEC on form 19b-4 in file SR-DTC-93-07, pp. 1-72. With exhibits:</p> <p>Exhibit 1: "Securities and Exchange Commission Proposed Rule Change by the Depository Trust Corporation", attached at pp. 9-16 of 72 pages;</p> <p>Exhibit 2: "Depository Trust Company Memorandum: An Interactive Option for the Institutional Delivery System," March 31, 1993, attached at pp. 17-22 of 72 pages, and "Institutional Delivery (ID) System Functional Design Paper for Enhanced, Interactive Capabilities," March 31, 1993, attached at pp. 23-67 of 72 pages; and</p> <p>Exhibit 3: "Depository Trust Company Memorandum: An Interactive Option for the Institutional Delivery System," January 9, 1992, attached at pp. 68-72 of 72 pages</p>
<i>RJ</i>		"Institutional Delivery System - User Manual - Section M," The Depository Trust Company, 1994, pp. I-V and 1-95
<i>RJ</i>		"Will Anyone Miss Chicago Match," Wall Street & Technology, April 1996, Vol. 14, No. 4, 3 pages
<i>RJ</i>		"Cross-Border Electronic Trade Confirmation Proposal," International Depository & Clearing LLC, Nov. 1996, pp. 1-28
<i>RJ</i>		"A New Processing Model for Cross-Border Transactions," International Depository & Clearing LLC, Sept. 1997, 32 pages

EXAMINER	<i>Romain Jeanty</i>	DATE CONSIDERED
<i>1-22-99</i>		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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